

WTO AGREEMENT ON TRADE FACILITATION IMPLEMENTATION TOOLKIT: INSTRUMENTS, GUIDES, CASE STORIES AND OTHER RESOURCES

DESCRIPTION

At the request from WTO Members, and in accordance with the Analysis of Technical Measures of the WTO Agreement on Trade Facilitation (TFA), the Trade Facilitation Agreement Facility (TFAF) of the WTO Secretariat has created a list of resources relevant to the implementation of the TFA. It is a compilation of trade facilitation guides, recommendations, best practices, and case stories. Each sub article is divided into two sections. The first section contains general guidelines and recommendations. The second section contains best practices and case stories from Member countries.

This living document is meant to complement the TFAF website, specifically the Article Resources web page listing all of the resources by TFA article. In addition to the aforementioned resources, the TFAF Article Resources provides a description of the sub-Article in question, including what activity is covered, the authorities concerned, and any new requirements laid out in the TFA. The TFAF Article Resources web page can be found at the following link: <https://www.tfafacility.org/article-resources>.

Additionally, country presentations from the Committee on Trade Facilitation, not included in the resources below, can be found at the following link: <https://tfadatabase.org/trade-facilitation-committee/experience-sharing/key-points>.

Over 200 resources have been added since January 2020. This list is designed to be comprehensive and will be updated periodically by the WTO Secretariat. International standards organizations, donor programs and border agencies are encouraged to collaborate with TFAF to ensure that Members are able to access relevant resources in a single location, rather than having to search dozens of publications. Some materials do not include links, and the notes section explains that many of these materials are available on the WCO Members' Website. This is due to the sensitive nature of the content. Each resource is not necessarily available in every working language of the WTO, as indicated in the "Language Column". The documents listed in this English version of the Toolkit are not necessarily the same ones listed in the Toolkit for other languages.

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ARTICLE 1: PUBLICATION AND AVAILABILITY OF INFORMATION

Resource	Notes	Language	Date Added
1.1 Publication			
UNCTAD - Technical Note on Publication - TN/TF/01 (2014)		English French Spanish	April 2020
WCO - RKC General Annex Guidelines - Chapter 9 (Information, Decisions and Rulings Supplied by Customs)	Standard(s) 9.1, 9.2, 9.3	English French Spanish	April 2020
WCO- Transparency and Predictability Guidelines		English French	March 2020
WCO - Recommendation (1999) on the Use of World Wide Web sites by Customs administrations		English French	March 2020
WCO - Recommendation (2001) on the application of HS Committee Decisions		English French Spanish	March 2020
WCO - IT Guide for Executives		English French Spanish	March 2020
WCO - Revised Arusha Declaration		English French Spanish	March 2020
Malawi - Opening Doors to Transparency in Cross-Border Trade: Lessons from the Trade Portal - Smart Lessons Case Study Competition Finalist (2017)		English	Prior to Feb 2020
Jamaica - Jamaica's Trade Information Portal (2019)		English	Prior to Feb 2020
Jamaica - Jamaica's Trade Facilitation Programme (2019)		English	Prior to Feb 2020
Nigeria - Trade Hub		English	Prior to Feb 2020
1.2 Information available through internet			
UNCTAD - Technical Note: Information available through internet (TN/TF/11) (2014)		English	Prior to Feb 2020

WCO - RKC General Annex Guidelines - Chapter 9 (Information, Decisions and Rulings Supplied by Customs)	Standard(s) 9.1, 9.2, 9.3	English French Spanish	April 2020
WCO- Transparency and Predictability Guidelines		English French	March 2020
WCO - Recommendation (1999) on the Use of World Wide Web sites by Customs administrations		English French	March 2020
WCO - Recommendation (2001) on the application of HS Committee Decisions		English French Spanish	March 2020
WCO - IT Guide for Executives		English French Spanish	March 2020
World Bank - Developing a Trade Information Portal		English	Prior to Feb 2020
Australia - How to Import (2019)		English	Prior to Feb 2020
Botswana - Making Cross-Border Trade Easier - Smart Lessons Case Study Competition Finalist (2016)		English	Prior to Feb 2020
Canada - Guide on importing Commercial Goods into Canada (2019)		English	Prior to Feb 2020
Norway - Import Guide for Beginners (2018)		English	Prior to Feb 2020
Norway - Case Study on Publication and Information		English	Prior to Feb 2020
Switzerland - Swiss Customs (2019)		English	Prior to Feb 2020
Switzerland - Importation into Switzerland (2019)		English	Prior to Feb 2020
Switzerland - Exportation from Switzerland (2019)		English	Prior to Feb 2020
Switzerland - Transit Through Switzerland (2019)		English	Prior to Feb 2020
United Kingdom - Starting to Import (2019)		English	Prior to Feb 2020
USA - Introduction to the CBP Import Process (2019)		English	Prior to Feb 2020

USA - Importing into the United States (2006) *For other US CBP Informed Compliance Publications view here		English	Prior to Feb 2020
1.3 Enquiry points			
UNCTAD - Technical Note on Enquiry Points - TN/TF/06 (2014)		English French Spanish	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 7 (Application of Information Technology)	5.8 of Guidelines: How to set up a customs help desk	English French	April 2020
WCO - RKC General Annex Guidelines - Chapter 9 (Information, Decisions and Rulings Supplied by Customs)	Standard(s) 9.4, 9.5, 9.6, 9.7, 9.8	English French Spanish	April 2020
WCO - Transparency and Predictability Guidelines		English French	March 2020
WTO - TBT Enquiry Point Guide (2019)		English	Prior to Feb 2020
Japan - Customs Counselor System		English	April 2020
USA - Centers of Excellence and Expertise		English	Prior to Feb 2020
1.4 Notification			
WCO - RKC General Annex Guidelines - Chapter 9 (Information, Decisions and Rulings Supplied by Customs)	Standard(s) 9.1, 9.2, 9.3	English French Spanish	April 2020
WCO - Recommendation (1999) on the Use of World Wide Web sites by Customs administrations		English French	March 2020
ARTICLE 2: COMMENT AND CONSULTATION			
Resource	Notes	Language	Date Added
2.1 Opportunity to comment and information before entry into force			
WCO - RKC General Annex Guidelines - Chapter 1 (General Principles) Standard(s) 1.3		English French Spanish	April 2020
WCO - RKC General Annex Guidelines - Chapter 9 (Information, Decisions and Rulings Supplied by Customs) Standard(s) 9.2		English French Spanish	April 2020

WCO - Customs-Business Partnership Guidance 2015		English French	April 2020
WCO - Model Business Lens Checklist for Small and Medium Sized Enterprises 2014		English French	April 2020
Cameroon - Facilitating trade in Cameroon through contractual arrangements between Customs and the private sector (WCO News, October 2014)		English	April 2020
Canada - Business Lens Checklist		English	April 2020
Canada - Guidelines for Effective Regulatory Consultations (2007)		English	Prior to Feb 2020
Canada - Supporting private sector engagement – the CBSA’s stakeholder engagement model		English	April 2020
Chile - Initiatives of Chilean Customs		English	April 2020
European Union - Stakeholder Consultation Guidelines (2014)		English	Prior to Feb 2020
Poland - ‘Customs-for-business’ – a new level of ambition for Poland’s Customs Service (WCO News, June 2014)		English	April 2020
Switzerland - Stakeholder Involvement (2019)		English	Prior to Feb 2020
Ukraine - Public Consultations on Draft Legislation		English	Prior to Feb 2020
USA - Bi-Directional Education		English	April 2020
USA - The Role of "Notice and Comment" and Related Administrative Procedures in Trade Facilitation Implementation		English	Prior to Feb 2020
2.2 Consultations			
WCO - RKC General Annex Guidelines - Chapter 1 (General Principles) Standard(s) 1.3		English French Spanish	April 2020
WCO - RKC General Annex Guidelines - Chapter 7 (Application of Information Technology)	5.8 of Guidelines: How to set up a customs help desk	English French	April 2020

WCO - RKC General Annex Guidelines - Chapter 9 (Information, Decisions and Rulings Supplied by Customs) Standard(s) 9.4, 9.5, 9.6, 9.7, 9.8		English French Spanish	April 2020
WCO - Customs-Business Partnership Guidance 2015		English French	April 2020
WCO - Model Business Lens Checklist for Small and Medium Sized Enterprises 2014		English French	April 2020
WCO - SAFE Framework of Standards 2018		English French	April 2020
Cameroon - Facilitating trade in Cameroon through contractual arrangements between Customs and the private sector (WCO News, October 2014)		English	April 2020
Canada - Business Lens Checklist		English	April 2020
Canada - Supporting private sector engagement – the CBSA’s stakeholder engagement model		English	April 2020
Chile - Initiatives of Chilean Customs		English	April 2020
New Zealand - Border Sector Industry Stakeholder Forum		English	Prior to Feb 2020
Poland - ‘Customs-for-business’ – a new level of ambition for Poland’s Customs Service (WCO News, June 2014)		English	April 2020
USA - Co-Creation		English	April 2020
USA - Commercial Operation Advisory Committee		English	Prior to Feb 2020
USA - Bi-Directional Education		English	Prior to Feb 2020
ARTICLE 3: ADVANCE RULINGS			
Resource	Notes	Language	Date Added
UNCTAD - Technical Note: Advance rulings (TN/TF/22)			April 2020
WCO - RKC General Annex Guidelines - Chapter 9 (Information, Decisions and Rulings Supplied by Customs) Standard(s) 9.9		English	April 2020

WCO - Technical Guidelines on Advance Rulings for Classification, Origin, and Valuation 2018		English	April 2020
WCO - Advance Rulings		English	April 2020
WCO - Diagnostic Tool on Tariff Classification, Valuation and Origin Work and Related Infrastructure / Guidelines on Customs Infrastructure for Tariff Classification, Valuation and Origin	The Diagnostic Tool and the Guidelines are available on the WCO Members' Website	English	April 2020
WCO - Practical Guidelines for Valuation Control	This tool is available on the WCO Members' Website General recommended procedures on advance rulings regarding Customs valuation.	English	April 2020
Australia - Australia's Experience with Advance Rulings (2019)		English	Prior to Feb 2020
Australia - Trade Facilitation Best Practices in Use of Advance Rulings		English	Prior to Feb 2020
Australia - Advance Rulings- Australian Border Force (2012)		English	Prior to Feb 2020
Canada - Advance Rulings for Tariff Classification (2019)		English	Prior to Feb 2020
Chile - Advance Ruling		English	April 2020
China - The Regulations Governing the Implementation of Advance Tariff Classification Ruling on Imported Goods (2015)		English	Prior to Feb 2020
France - The Binding Origin Information (BOI)		English	April 2020
Japan - Advance Ruling on Origin (The WCO Origin Conference 2014)		English	April 2020
Liberia - Implementing Advance Tariff Rulings		English	April 2020
Philippines - Advance rulings from the Bureau of Customs and the Tariff Commission (2017)		English	Prior to Feb 2020
Singapore - Statement on Advance Rulings (2018)		English	Prior to Feb 2020

Singapore - Application for Customs Ruling on Classification of Goods (2019)		English	Prior to Feb 2020
Trinidad and Tobago - Advance rulings (PPT)		English	Prior to Feb 2020
Trinidad and Tobago - Advance rulings		English	Prior to Feb 2020
USA - G/TFA/W/7 The Role of Advance Rulings and Administrative Procedures in TFA Implementation (US Communication) (2018)		English	Prior to Feb 2020
USA - Best Practices in Use of Advance Rulings		English	Prior to Feb 2020
USA - USAID Advance Ruling Guide		English	Prior to Feb 2020
USA - What Every Member of the Trade Community Should Know About: U.S. Customs & Border Protection Rulings Program (2009)		English	Prior to Feb 2020
NAFTA - Advance Ruling Procedures (NAFTA)		English	Prior to Feb 2020
World Customs Journal - Comparison of advance ruling systems for tariff classification in the European Union, China and Chinese Taipei		English	Prior to Feb 2020
ARTICLE 4: PROCEDURES FOR APPEAL OR REVIEW			
Resource	Notes	Language	Date Added
UNCTAD - Right of appeal against Customs and other agency rulings and decisions -Technical Note No. 10 (2011)		English	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 10 (Appeals Customs Matters)		English	April 2020
Albania - Appeals in application of the customs code (2019)		English	Prior to Feb 2020
Bangladesh - Appeal Process (2016)		English	Prior to Feb 2020
Chile - Tax and Customs Tribunals (TCT)		English	April 2020

Ireland - Customs Appeals (2019)		English	Prior to Feb 2020
New Zealand- Guide to Making an Appeal		English	Prior to Feb 2020
Papua New Guinea - Customs Appeals (2019)		English	Prior to Feb 2020
ARTICLE 5: IMPARTIALITY, NON-DISCRIMINATION AND TRANSPARENCY			
Resource	Notes	Language	Date Added
5.1 Notification for enhanced controls or inspections			
WCO - RKC General Annex Guidelines - Chapter 6 (Customs Control) Standard(s) 6.3, 6.4, 6.7		English	April 2020
WCO - SAFE Framework of Standards 2018		English	April 2020
WCO - Customs Risk Management Compendium General		English	April 2020
WCO - Customs Risk Management Compendium Volume 1		English	April 2020
WCO – Risk Management Compendium Volume 2	Volume 2 is available on the WCO Members' Website	English	April 2020
WCO - Single Window Compendium Volume 1 For Executives/Senior Management		English	April 2020
WCO - Single Window Compendium Volume 2 For Technical Experts		English	April 2020
European Union - Rapid Alert System for Food and Feed (RASFF) (2005)		English	Prior to Feb 2020
5.2 Detention			
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.36		English	April 2020
WCO - RKC General Annex Guidelines - Chapter 6 (Customs Control) Standard(s) 6.1		English	April 2020

WCO - Guideline to RKC Specific Annex H – Chapter 1 (Guidelines on Customs Offences) Standard(s) 11, 12, 13, 14, 15, 16, 17		English	April 2020
WCO - Customs Risk Management Compendium General		English	April 2020
WCO - Customs Risk Management Compendium Volume 1		English	April 2020
WCO – Risk Management Compendium Volume 2	Volume 2 is available on the WCO Members' Website	English	April 2020
WCO - Compendium of Customs Operational Practices for Enforcement and Seizures (COPEs)	The Compendium is available on the WCO Members' Website	English French Spanish	April 2020
USA - Customs and International Trade Law - U.S. Food and Drug Administration (FDA) discusses top reasons for Detention of goods		English	Prior to Feb 2020
USA - US Customs and Border Protection (CBP) issues Detention order on Tuna Harvested by Forced Labor Aboard the Tunago no. 61		English	Prior to Feb 2020
5.3 Test procedures			
FAO - Inspection Guide		English	Prior to Feb 2020
IPPC - ISPM 31 Methodologies for sampling of consignments		English	Prior to Feb 2020
IPPC - ISPM 27 Diagnostic protocols for regulated pests		English	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.38		English	April 2020
WCO - Customs Laboratory Guide	The tool is available on the WCO Members' Website	English	April 2020
ARTICLE 6: DISCIPLINES ON FEES AND CHARGES			
Resource	Notes	Language	Date Added

6.1 General disciplines on fees and charges imposed on or in connection with importation and exportation			
UNCTAD - Disciplines on the levy of fees and charges - Technical Note No 2 (2011)		English	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.2		English	April 2020
WCO - RKC General Annex Guidelines - Chapter 9 (Information, Decisions and Ruling Supplied by Customs) Standard(s) 9.7		English	April 2020
WCO - Guideline to RKC Specific Annex A – Chapter 1 (Formalities prior to the lodgement of the Goods declaration) Standard(s) 19		English	April 2020
WCO - Revised Arusha Declaration		English	April 2020
6.2 Specific disciplines on fees and charges for customs processing imposed on or in connection with importation and exportation			
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.2, 3.45		English	April 2020
WCO - RKC General Annex Guidelines - Chapter 9 (Information, Decisions and Ruling Supplied by Customs) Standard(s) 9.7		English	April 2020
WCO - Guideline to RKC Specific Annex A – Chapter 1 (Formalities prior to the lodgement of the Goods declaration) Standard(s) 19		English	April 2020
WCO - Revised Arusha Declaration		English	April 2020
6.3 Penalty disciplines			
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.39, 3.43		English	April 2020
WCO - Guideline to RKC Specific Annex H – Chapter 1 (Guidelines on Customs Offences) Standard(s) 19, 20, 21, 22, 23, 24, 25		English	April 2020

WCO – Voluntary Compliance Framework		English	April 2020
WCO - Voluntary Disclosure Programmes of Member Countries (Singapore, Switzerland, Canada, Japan, USA)		English	Prior to Feb 2020
ARTICLE 7: RELEASE AND CLEARANCE OF GOODS			
Resource	Notes	Language	Date Added
7.1 Pre-arrival Processing			
UNECE - Pre-Arrival Processing		English	Prior to Feb 2020
UNCTAD - Pre-arrival Customs processing- Technical Note No. 15 (2011)		English	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.25		English	April 2020
WCO - SAFE Framework of Standards 2018		English	April 2020
WCO - Immediate Release Guidelines		English	April 2020
Canada - Pre-Load Air Cargo Targeting (PACT) Pilot (SAFE Working Group, October 2013)		English	April 2020
Japan - Advance Filing Rules on Maritime Container Cargo Information		English	April 2020
Japan - Pre-arrival examination		English	Prior to Feb 2020
Nigeria - Pre-arrival processing		English	Prior to Feb 2020
Peru - Advanced Customs Clearance System (2011)		English	Prior to Feb 2020
7.2 Electronic Payment			
UNECE - Electronic payment of customs duties and taxes		English	April 2020
WCO - RKC General Annex Guidelines - Chapter 7 (Application of Information Technology) Standard(s) 7.1		English	April 2020
Chile - Electronic payment system		English	April 2020

India - ICEGATE e-payment gateway		English	Prior to Feb 2020
Malaysia - Electronic Customs Duty Payment (ePayment)		English	Prior to Feb 2020
7.3 Separation of Release from Final Determination of Customs Duties, Taxes, Fees and Charges			
UNCTAD - Technical Note: Separating release from clearance procedures (TN/TF/19)		English	April 2020
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.13, 3.14, 3.17, 3.40		English	April 2020
WCO - RKC General Annex Guidelines - Chapter 4 (Duties and Taxes) Standard(s) 4.9		English	April 2020
WCO - RKC General Annex Guidelines - Chapter 5 (Security) Standard(s) 5.1, 5.2, 5.3, 5.4, 5.5, 5.6, 5.7		English	April 2020
WCO - SAFE Framework of Standards 2018		English	April 2020
WCO - Immediate Release Guidelines		English	April 2020
European Union - Deferred Payments: Guarantees for potential or existing customs debts, page 22-23		English	April 2020
New Zealand - Deferred Payment Scheme (2013)		English	Prior to Feb 2020
7.4 Risk Management			
UNCTAD - Technical Note: Risk Management (TN/TF/12)		English	April 2020
UNCTAD - Technical Note: Automated System for Customs Data (ASYCUDA) (TN/TF/21)		English	April 2020
USAID - FASTrade Risk Management Handbook (2004)		English	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 6 (Customs Control) Standard(s) 6.3, 6.4, 6.5		English	April 2020
WCO - SAFE Framework of Standards 2018		English	April 2020

WCO - Customs Risk Management Compendium General		English	April 2020
WCO - Customs Risk Management Compendium Volume 1	Annex 5 to the Volume 1 of Risk Management Compendium includes case studies from Argentina, Jamaica, Japan, Kenya, Korea, Mauritius, and the USA	English	April 2020
WCO – Risk Management Compendium Volume 2	Volume 2 is available on the WCO Members' Website	English	April 2020
Bosnia and Herzegovina - Joint risk analysis on cross-border traffic (WCO News, June 2010)		English	April 2020
Cameroon - Risk management (2011)		English	Prior to Feb 2020
Colombia – Global Alliance for Trade Facilitation, Risk Management in Colombia (2019)		English	April 2020
Malawi - Risk Management in Malawi		English	April 2020
Mexico - Progress on applying risk analysis to core processes (WCO News, June 2010)		English	April 2020
New Zealand - Risk management (2011)		English	Prior to Feb 2020
South Africa - Managing risk in practice: South Africa shares her experience (WCO News, June 2010)		English	April 2020
Turkey - Turkey strengthens its Customs risk management system (WCO News, June 2013)		English	April 2020
WCO - Customs risk management (CRiM): A Survey of 24 WCO Member Administrations	The Survey is available at the WCO Members' website only	English	April 2020
Zambia - Integrating Risk-Based Principles into Border Inspections and Clearance - Smart Lessons Case Study Competition Finalist (2016)		English	Prior to Feb 2020
OIE - Risk management - CARICOM (2019)		English	Prior to Feb 2020

7.5 Post-clearance Audit			
UNCTAD - Technical Note: Post-clearance audit (TN/TF/05)		English	April 2020
USAID – Post-Clearance Audit Handbook (2011)		English	Prior to Feb 2020
World Bank - Post Clearance Audit: Reference and Implementation Guide (2013)		English	Feb 2020
WCO - RKC General Annex Guidelines - Chapter 6 (Customs Control) Standard(s) 6.6		English	April 2020
WCO - Guidelines for Post-Clearance Audit - General		English	April 2020
WCO – Guidelines for Post-Clearance Audit (PCA) Volume 1 – Development and Administration		English	April 2020
WCO – Guidelines for Post-Clearance Audit (PCA) Volume 2 – Practical Guidance for Auditing Officials	Volume 2 is available on the WCO Members' Website	English	April 2020
WCO - Diagnostic Tool on Post Clearance Audit (PCA) and Infrastructure	The Diagnostic Tool is available on the WCO Members' Website	English	April 2020
WCO - Implementation Guidance on Post-Clearance Audit	Implementation Guidance on PCA is available on the WCO Members' Website	English	April 2020
WCO - SAFE Framework of Standards 2018		English	April 2020
WCO - Customs Risk Management Compendium General		English	April 2020
WCO - Customs Risk Management Compendium Volume 1		English	April 2020
WCO – Risk Management Compendium Volume 2	Volume 2 is available on the WCO Members' Website	English	April 2020
Canada - Post-Clearance Audit (2011)		English	Prior to Feb 2020
Chinese Taipei – Post-Clearance Audit (2012)		English	Prior to Feb 2020
Korea – Post-Clearance - WTO TN/TF/W/55 (2005)		English	Prior to Feb 2020

OCO - Post Clearance Audit Project for Oceania Customs Organisation		English	April 2020
7.6 Establishment and Publication of Average Release Times			
WCO – TRS: Guide to Measure the Time Required for the Release of Goods (2018)		English	Feb 2020
WCO - Customs International Benchmarking Manual		English	April 2020
Australia - Time Release Study (2016)		English	Prior to Feb 2020
Belarus - Conducting a Border-Crossing Time-Release Study - Smart Lessons Case Study Competition (2016)		English	Prior to Feb 2020
Fiji - Time Release Study (2012)		English	Prior to Feb 2020
Malaysia - Time Release Study (2012)		English	Prior to Feb 2020
Poland - Publishing TRS results in the public domain: Poland's positive experience (2016)		English	April 2020
Sri Lanka - Time Release Study (2018)		English	Feb 2020
7.7 Trade Facilitation Measures for Authorized Operators			
USAID - AEO Programs Handbook (2010)		English	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.32		English	April 2020
WCO - SAFE Framework of Standards 2018		English	April 2020
WCO - AEO Implementation Guidance		English	April 2020
WCO - AEO Compendium		English	April 2020
WCO - Model AEO Appeal Procedures		English	April 2020
WCO - AEO Benefits: Contribution from the WCO Private Sector Consultative Group		English	April 2020

WCO - The Authorized Economic Operator and the Small and Medium Enterprise (FAQ)		English	April 2020
WCO - Strategy Guide for AEO Mutual Recognition		English	April 2020
WCO - AEO Template		English	Feb 2020
WCO - Authorized Operators Scheme		English	April 2020
WCO - Linkages between the SAFE AEO Programme and Article 7.7 of the WTO TFA	Not included in online WCO Implementation Tool	English	April 2020
WCO - AEO Validator Guide (2018)	Not included in online WCO Implementation Tool	English	April 2020
Albania - Operationalization of Authorized Economic Operators Program - Smart Lessons Case Study Competition (2017)		English	Prior to Feb 2020
Australia - Authorized Economic Operator Program G/TFA/W/9 (2018)		English	Prior to Feb 2020
Australia - What is a Trusted Trader? (Video) (2018)		English	Prior to Feb 2020
Australia - Border Force (2018)		English	Prior to Feb 2020
Brazil - AEO Program (2018)		English	Feb 2020
China - AEO Program (2018)		English	Prior to Feb 2020
Dominican Republic - AEO Programme		English	Prior to Feb 2020
European Union - AEO Program (2018)		English	Prior to Feb 2020
European Union - Authorized Operators Ebook (2020)		English French Spanish	June 2020
Hong Kong, China - AEO Programme		English	Prior to Feb 2020
Jamaica - Case Study on AEOs		English	Prior to Feb 2020
Japan - AEO Program (2018)		English	Prior to Feb 2020

Korea - AEO Program (2019)		English	Prior to Feb 2020
Saudi Arabia - AEO Program (2020)		English	Prior to Feb 2020
UAE - Dubai Customs launches the UAE's innovative AEO programme (2016)		English	April 2020
7.8 Expedited Shipments			
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities)		English	Prior to Feb 2020
WCO - Immediate Release Guidelines		English	Feb 2020
Chinese Taipei - Air Cargo Express Consignment (2016)		English	Feb 2020
Saudi Arabia - Article - New Saudi Customs clearance procedures enhance shipments		English	Feb 2020
Thailand - Categories of Express Consignments		English	April 2020
USA - Best practices in use of expedited shipments		English	Prior to Feb 2020
7.9 Perishable Goods			
IATA - Perishable Cargo Regulations Manual (2020)		English	Prior to Feb 2020
IATA - Temperature Control Regulations (2020)		English	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.34		English	April 2020
ARTICLE 8: BORDER AGENCY COOPERATION			
Resource	Notes	Language	Date Added
UNCTAD - Border Agency Coordination - Technical Note No.14 (2011)		English	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.35		English	April 2020
WCO - SAFE Framework of Standards 2018		English	April 2020

<u>WCO - Coordinated Border Management Compendium</u>		English	April 2020
<u>WCO - Single Window Compendium Volume 1 For Executives/Senior Management</u>		English	April 2020
<u>WCO - Single Window Compendium Volume 2 For Technical Experts</u>		English	April 2020
<u>WCO – Single Window Supplement</u>		English	April 2020
<u>WCO – Coordinated Border Management</u>		English	April 2020
<u>WCO - Data Model Flyer</u>		English	April 2020
<u>WCO - Data Model General Brochure</u>		English	April 2020
<u>WCO - Data Model Technical Brochure</u>		English	April 2020
<u>WCO - Data Model Data Harmonization</u>		English	April 2020
<u>WCO – Guidelines on Customs-Tax Cooperation</u>		English	April 2020
<u>AEBR - CROSS-BORDER COOPERATION IN SOUTH AMERICA</u>		English	Prior to Feb 2020
<u>AsDB - The Central Asia Regional Economic Cooperation (CAREC) Program - CS #60 Aid for Trade 5GR</u>		English	Prior to Feb 2020
<u>Botswana - Beginning the CBM process: the Botswana experience (2015)</u>		English	April 2020
<u>Croatia - Strategy for Integrated Border Management</u>		English	April 2020
<u>CUTS - Attari-Wagah Border of India and Pakistan - CS #100 Aid for Trade 5GR</u>		English	Prior to Feb 2020
<u>ECOWAS - West Africa Joint Border Post (JBP) Programme - CS #38 Aid for Trade 5GR</u>		English	Prior to Feb 2020
<u>European Union - EU launches new Customs Data Model based on WCO standards (2016)</u>		English	Prior to Feb 2020
<u>Finland - Managing land borders, the innovative Finnish model (2015)</u>		English	April 2020

Germany - Coordinated Border Management		English	April 2020
Hong Kong, China - Road Cargo System (ROCARS)		English	April 2020
Japan - Capacity building for Customs Administration and capacity development for Trade Facilitation in Eastern Africa - CS #07 Aid for Trade 5GR		English	Prior to Feb 2020
Lesotho Revenue Authority- Customs Modernisation Programme - CS #21 Aid for Trade 5GR		English	Prior to Feb 2020
Lesotho - Technical Assistance on Trade Facilitation - CS #05 Aid for Trade 5GR		English	Prior to Feb 2020
Netherlands - Coordinated Border Management in the Netherlands (2015)		English	April 2020
New Zealand - Implementing New Zealand's Joint Border Management System (2015)		English	April 2020
Norway - Case Study on Border Agency Cooperation (2011)		English	Prior to Feb 2020
OECS - Border Cooperation for the OECS Customs Union and Free Circulation of Goods- CARICOM (2019)		English	Prior to Feb 2020
Singapore - Coordinated Border Management: A Whole-of-Government Approach: Singapore Customs (2015)		English	Prior to Feb 2020
UNECLAC - Trade facilitation and paperless trade implementation in Latin America and the Caribbean		English	March 2020
UNECLAC - Facilitation of transport: experience with binational dialogue between the Republic of Haiti and the Dominican Republic		English	March 2020
USA - BEST, an interagency collaboration model that's keeping the United States safer (2015)		English	April 2020
World Bank - Trading Across Borders in Guatemala and Honduras (2018)		English	Prior to Feb 2020
Zambia - Case Study on Customs cooperation (2011)		English	Prior to Feb 2020

ARTICLE 9: MOVEMENT UNDER CUSTOMS CONTROL

Resource	Notes	Language	Date Added
WCO - Guideline to RKC Specific Annex E – Chapter 1 (Customs Transit) Standard(s) 1 – 25		English	April 2020
WCO – Transit Handbook	Introduces Members’ innovative practices on transit	English	April 2020
European Union - Customs Transit Procedures in the EU (General Features)		English	April 2020
European Union - Customs Transit Procedures in the EU (Operation of Union & Common Transit procedures)		English	April 2020

ARTICLE 10: IMPORT, EXPORT AND TRANSIT FORMALITIES

Resource	Notes	Language	Date Added
10.1 Formalities and Documentation Requirements			
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.11 – 3.19		English	April 2020
WCO - Recommendation (2012) on the Dematerialization of Supporting Documents		English	April 2020
WCO - IT Guide for Executives		English	April 2020
European Union - The single administration document (SAD)		English	Prior to Feb 2020
Singapore’s approach to streamlining trade documentation (2014)		English	April 2020
10.2 Acceptance of Copies			
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.11 – 3.19		English	April 2020
WCO - Recommendation (2012) on the Dematerialization of Supporting Documents		English	April 2020

WCO - IT Guide for Executives		English	April 2020
Japan - Japan's experience on supporting documents (Revised Kyoto Convention Management Committee 2013)		English	Prior to Feb 2020
Singapore's approach to streamlining trade documentation (2014)		English	April 2020
10.3 Use of International Standards			
ISO - Guides on use of International Standards (2014)		English	Prior to Feb 2020
UNCTAD - Simplification of trade documentation using international standards - Technical Note No 13 (2011)		English	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.11		English	April 2020
WCO - RKC General Annex Guidelines - Chapter 7 (Application of Information Technology) Standard(s) 7.2		English	April 2020
WCO - Data Model Flyer		English	April 2020
WCO - Data Model General Brochure		English	April 2020
WCO - Data Model Technical Brochure		English	April 2020
WCO - Data Model Data Harmonization		English	April 2020
WCO - Recommendation (2009) concerning the use of the WCO Data Model		English	April 2020
WCO - Recommendation (1990) concerning the use of the UNTDED		English	April 2020
WCO - Recommendation (1990) concerning the use of UN/EDIFACT		English	April 2020
WCO - IT Guide for Executives		English	April 2020
European Union - EU launches a new Customs Data Model based on WCO standards (2015)		English	April 2020
Malaysia launches new information system (2016)		English	April 2020

Oman - Data harmonization for Single Windows: a case study from Oman (2014)		English	April 2020
Oman - Implementing the WCO Data Model in Oman		English	April 2020
10.4 Single Window			
AAEC - Guidelines for Single Window Implementation in Africa (2017)		English	Prior to Feb 2020
UNECE - Single Window for Trade (2005)		English	Prior to Feb 2020
UNECE - Single window - Recommendation no. 33 (2005)		English	Prior to Feb 2020
UNRCS - TF and Paperless Trade Implementation Survey 2015 - Latin America and the Caribbean (2015)		English	Prior to Feb 2020
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities)		English	April 2020
WCO - Single Window Compendium Volume 1 For Executives/Senior Management		English	April 2020
WCO - Single Window Compendium Volume 2 For Technical Experts		English	April 2020
WCO - Single Window Supplement		English	April 2020
WCO - Data Model Flyer		English	April 2020
WCO - Data Model General Brochure		English	April 2020
WCO - Data Model Technical Brochure		English	April 2020
WCO - Data Model Data Harmonization		English	April 2020
WCO - SAFE Framework of Standards 2018		English	April 2020
WCO - Coordinated Border Management Compendium		English	April 2020
WCO - IT Guide for Executives		English	April 2020
Brazil - TFA implementation in Brazil through Public-Private Partnership (2019)		English	Prior to Feb 2020

Chile - Implementing Single Window in Chile		English	April 2020
China - Single Window (2019)		English	Prior to Feb 2020
Chinese Taipei – Single Window (2019)		English	Prior to Feb 2020
Colombia – Single Window		English	Prior to Feb 2020
Ecuador - Single Window (2011)		English	Prior to Feb 2020
El Salvador – Single Window (2011)		English	Prior to Feb 2020
Kenya - Environment and Implementation of the National Single Window - CS #24 Aid for Trade 5GR		English	Prior to Feb 2020
Kenya - Electronic Single Window Connects East Africa to Global Value Chains - Smart Lessons Case Study Competition (2017)		English	Prior to Feb 2020
Korea – Single Window Presentation (2018)		English	Prior to Feb 2020
Korea – Single Window Implementation (2011)		English	Prior to Feb 2020
Korea - UNI-PASS: Korea’s Customs modernization tool (2016)		English	April 2020
Lesotho Revenue Authority - Customs Modernisation Programme - Aid for Trade 5GR CS #21		English	Prior to Feb 2020
Morocco - PortNet: Creating a Strategic Alliance between Port and Foreign Trade Communities for a Competitive Economic Operator - Smart Lessons Case Study Competition (2017)		English	Prior to Feb 2020
New Zealand Single Window opens for business (2013)		English	April 2020
Nigeria develops an organic National Single Window (2013)		English	April 2020
Nigeria – Single Window (2011)		English	Prior to Feb 2020

Peru - Single Window for Foreign Trade (VUCE) - CS #112 Aid for Trade 5GR		English	Prior to Feb 2020
Peru - Single Window		English	Prior to Feb 2020
Rwanda - The Electronic Single Window for Trade Efficiency - Smart Lessons Case Study Competition Finalist (2017)		English	Prior to Feb 2020
Rwanda - UNCTAD Compendium of Good Practices (2019)		English	Prior to Feb 2020
Rwanda - Rwanda electronic single window - CS #13 Aid for Trade 5GR		English	Prior to Feb 2020
Sri Lanka - Study on challenges implementing Single Window (2015)		English	Prior to Feb 2020
Qatar introduces the Customs Clearance Single Window (2014)		English	April 2020
Qatar's Single Window system continues to deliver improved results (2015)		English	April 2020
10.5 Pre-shipment Inspection			
WCO - Practical Guidelines for Valuation Control	This tool is available on the WCO Members' website.	English	April 2020
WCO - Guide on termination of inspection contract	This tool is available on the WCO Members' website.	English	April 2020
10.6 Use of Customs Brokers			
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.6, 3.7		English	April 2020
WCO - RKC General Annex Guidelines - Chapter 8 (Relationship between the Customs and Third Parties) Standard(s) 8.1 – 8.7		English	April 2020
WCO – Study Report on Customs Brokers 2016		English	April 2020
WCO - Custom Brokers Guidelines	Not included in online WCO Implementation Tool	English	Prior to Feb 2020

Australia - Step by Step Approach for Customs Brokers		English	Prior to Feb 2020
Japan - An overview of Japan's Customs brokerage legislation (2016)		English	April 2020
Netherlands - Representation in case of customs declarations		English	April 2020
10.7 Common Border Procedures and Uniform Documentation Requirements			
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities) Standard(s) 3.11, 3.20		English	April 2020
European Union - The Single Administrative Document (SAD)		English	Prior to Feb 2020
Singapore - Approach to Streamlining Trade Documentation, WCO news N° 75 (2014)		English	Prior to Feb 2020
10.8 Rejected Goods			
WCO - RKC General Annex Guidelines - Chapter 3 (Clearance and other Customs Formalities)		English	April 2020
WCO - RKC General Annex Guidelines - Chapter 4 (Duties and Taxes) Standard(s) 4.19		English	April 2020
WCO - Guideline to RKC Specific Annex C – Chapter 1 (Outright Exportation)		English	April 2020
India - India's Re-Import of Goods Procedures (Rejected Goods)		English	Prior to Feb 2020
10.9 Temporary Admission of Goods and Inward and Outward Processing			
UNCTAD - Technical Note: Bonded Customs Regimes (Customs Transit Regimes and transit guarantees) - (TN/TF/17)		English	April 2020
UNECE – TIR Handbook 2018		English	April 2020
WCO - Guideline to RKC Specific Annex G – Chapter 1 (Temporary Admission)		English	April 2020

WCO - RKC Guidelines on RKC Specific Annex F – Chapter 1 (Inward Processing)		English	April 2020
WCO - RKC Guidelines on RKC Specific Annex F – Chapter 2 (Outward Processing)		English	April 2020
WCO - RKC Guidelines on RKC Specific Annex F – Chapter 3 (Drawback)		English	April 2020
WCO - Istanbul Convention on Temporary Admission		English	April 2020
WCO – Customs Convention on the ATA Carnet		English	April 2020
Australia- Temporary Imports (Practices by Australia)		English	Prior to Feb 2020
European Union - Outward processing using the replacement system (RKC Guidelines on RKC Specific Annex F – Chapter 2)		English	April 2020
WCO – Member Survey on Temporary Admission		English	April 2020
ARTICLE 11: FREEDOM OF TRANSIT			
Resource	Notes	Language	Date Added
UNCTAD - Technical Note: Bonded Customs Regimes (Customs Transit Regimes and transit guarantees) - (TN/TF/17)		English	April 2020
UNCTAD - Technical Note: Freedom of Transit (TN/TF/08)		English	April 2020
UNECE – TIR Handbook 2018		English	April 2020
UNECE - TIR System- Structure, Merits and Accession (2017)		English	Prior to Feb 2020
UNECE - Unified Railway Law (2018)		English	Prior to Feb 2020
UN-OHRLLS - Implementation of the Vienna Programme of Action for Landlocked Developing Countries for the Decade 2014-2024 (2017)		English	Prior to Feb 2020

UN-OHRLLS - Improving transit cooperation, trade and trade facilitation for the benefit of the landlocked developing countries (2017)		English	Prior to Feb 2020
WCO - Guideline to RKC Specific Annex E – Chapter 1 (Customs Transit)		English	April 2020
WCO - Guideline to RKC Specific Annex E – Chapter 2 (Transshipment)		English	April 2020
WCO – Transit Handbook		English	April 2020
European Commission - European Union -Transit (2018)		English	Prior to Feb 2020
European Union - Customs Transit Procedures in the EU (General Features)		English	April 2020
European Union - Customs Transit Procedures in the EU (Operation of Union & Common Transit procedures)		English	April 2020
Hong Kong, China – Transit (2011)		English	Prior to Feb 2020
Mesoamerica improves international transit controls on goods (2012)		English	
Switzerland - Implementation of National and International Transit Procedures (2018)		English	Prior to Feb 2020
WCO - Customs Transit Procedures in the European Union (2013)		English	Prior to Feb 2020
ARTICLE 12: CUSTOMS COOPERATION			
Resource	Notes	Language	Date Added
WCO - RKC General Annex Guidelines - Chapter 6 (Customs Control) Standard(s) 6.7		English	April 2020
WCO – SAFE Framework of Standards 2018		English	April 2020
WCO – Model Bilateral Agreement		English	April 2020
WCO – Nairobi Convention on Customs Offences		English	April 2020
WCO – Johannesburg Convention on Customs Matters		English	April 2020

WCO – Globally Networked Customs Handbook		English	April 2020
WCO – Globally Networked Customs Concept – Strategic Value		English	April 2020
WCO - Globally Networked Customs – Frequently Asked Questions		English	April 2020
WCO - Customs Enforcement Network Global Application (CEN)	This module acts as a central depository for enforcement-related information; its success resting squarely on the steady flow of quality data provided by all WCO Members. For more information on the CEN, please contact cis@WCOomd.org	English	April 2020
WCO - National Customs Enforcement Network Application (nCEN)	This system was developed by the WCO to assist Customs administrations with the collection and storage of law-enforcement information on the national level, with the additional capability to exchange this information at the regional and international levels. For more information on the nCEN please contact ncen@WCOomd.org	English	April 2020
WCO - Customs Enforcement Network Communication Platform (CENcomm)	CENcomm is a tool that facilitates the exchange and use of data in a timely, reliable and secure manner with direct access available 24 hours a day. Email management and information storage are just two of its key features. For more information on CENcomm, please contact cis@WCOomd.org	English	April 2020
WCO - Customs Co-operation		English	April 2020
Canada - Customs Cooperation (2012)		English	Prior to Feb 2020

Georgia, Europe's first nCEN Country (2016)		English	April 2020
Mauritius, the first country to implement nCEN (2011)		English	April 2020
Namibia's Customs modernization drive and nCEN experience (2014)		English	April 2020
South Africa and Swaziland - Implementing Exchange of Information		English	April 2020
United States - Customs Mutual Assistance Agreements (CMAA)		English	April 2020